Spectroscopic Analysis and Geometry Assignment of the Minimum Energy Conformations of 2-Phenoxypyridines and Diphenyl Ethers

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The conformational preferences of 2-phenoxypyridines and diphenyl ethers were determined by minimum-energy optimization using the method of ab initio molecular orbital calculations with STO-3G basis sets, and by spectral measurements and their analyses based on CNDO/S-CI calculations. The o,o-disubstituents of the methyl groups to diphenyl ether and 2-phenoxypyridine, i.e., 1,3-dimethyl-2-phenoxybenzene and 2-(2,6-xylyloxy)pyridine, respectively, prefer a symmetric skew conformation. The conformation of unsubstituted diphenyl ether (1a) is nonrigid with a dihedral angle, about 90°, of two phenyl rings at room temperature. Spectral observations at 77 K have provided evidence for this conclusion. On the other hand, 2-phenoxypyridine (2a) has been found to possess sufficient internal barriers to stabilize the two aromatic rings in a skew form. The weak nuclear repulsion, compared with that in 1a, would mainly contribute to stability in the skew form. The characteristic ${}^{1}L_{a}$ band observed for 2a is attributed to the configuration interaction of the $n-\pi^*$ excited state to the ${}^{1}L_{a}$ states in the skew form.

It is well known that phenoxypyridines and diphenyl ethers are of biological importance as basic skeletons of thyroid hormones and drugs. The conformational preferences of phenoxypyridines and diphenyl ethers are of great interest in chemistry both as typical compounds having critical oxygen aromatic properties, and concerning the structure-activity relationships of thyroid hormones.¹⁻⁴⁾ The conformations of this kind of compound are traditionally classified into four groups (Fig. 1) by taking 2-phenoxypyridine (2a) as an example. The conformation of diphenyl ether (1a) was first discussed by Higashi and Smyth;⁵⁾ there have subsequently been many reports concerning this subject.¹⁻¹⁹⁾

Robertson and co-workers have discussed the effects of two o-substituents introduced to a phenyl ring of 1a, and determined the preferable conformations of the o,o-disubstituted diphenyl ethers as a skew form in crystals.^{6,7)} Lehmann has proposed that the o-monosubstituted derivatives preferentially adopt twist conformations as a result of the balance between the conjugative tendency toward coplanarity and the steric hindrance.⁸⁾

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A variety of experimental and theoretical approaches have suggested that 1a prefers a twist form in which θ_1 and θ_2 lie in the vicinity of 25—50° with some exceptions.⁹⁻¹²⁾ The twist form, however, has not yet been

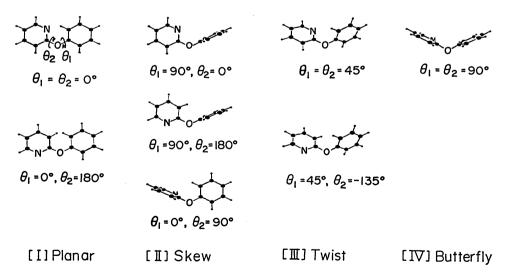


Fig. 1. Stereoscopic view of typical conformations for 2-phenoxypyridine (2a). θ_1 and θ_2 denote the angles of twist about the C-O bonds, respectively. They are defined as zero when the phenyl and pyridyl rings are in the COC plane. The increases in θ_1 and θ_2 cause clockwise rotations of the phenyl and pyridyl rings around their O-C bonds, respectively.

well justified. Recently, a great deal of attention has been focused on the internal motion of 1a, 3, 11, 13) since the barrier concerning internal rotation around the C-O bonds on 1a is suggested to be as low as 2.44 kcal mol⁻¹, 120 and the enthalpy of activation is also 2.4 kcal mol⁻¹, as estimated in a polystyrene matrix. Ab initio and semiempirical C-INDO approaches to the internal barrier in 1a suggest the so-called one-ring flip mechanism. 13, 150 It has therefore been required to experimentally clarify whether 1a adopts a nonrigid conformation or not.

On the other hand, only a few theoretical and experimental investigations have been carried out concerning the preferable conformation of 2a, which involves critical torsion angles with regard to conjugation between the phenyl and pyridyl rings through the bridging oxygen atom. There seems to be a large difference in the nuclear repulsion between 2a and 1a, though the number of π -electrons is identical. It is therefore of interest and importance in structural chemistry to understand what difference occurs in the conformations of 2a and 1a brought about by the balance between electronic stabilization (conjugation) and steric repulsion.

In this report we discuss the preferable conformations of 1a and 2a in terms of the geometry assignment of the minimum energy, as well as spectroscopic measurements and their analyses using the method of CNDO/S-CI

calculations.

Experimental

Chemicals and Solvents. The samples used here were 1a, 1.3-dimethyl-2-phenoxybenzene (1b), anisole (1c), 2a, 2-(2,6xylyloxy)pyridine (2b), 2-methoxypyridine (2c), toluene (3a), m-xylene (3b), as illustrated in Fig. 2. Compounds 1a, 1c, 2c, and 3a-b were commercially available (Wako Chemical Co. or Aldrich Chemical Co.) and were repeatedly distilled under reduced pressure before use. Samples 1b and 2a-b were synthesized according to the method of Ullman.20-22) Upon recrystallization from petroleum ether purified 2a was obtained (mp 46.0 °C). The purification of 1b and 2b was achieved by preparative thin-layer chromatography and distillation under reduced pressure, respectively; they were then recrystallized from petroleum ether (mp 58.0—59.0 °C for 1b and 54.0—55.0 °C for 2b). The structure and purity of all the samples were checked by thin-layer chromatography, elemental analyses and mass spectrometry. Good agreements were obtained between the experimental and calculated values in the elemental analyses.

The solvents used for the spectral measurements were heptane and EPA solution (ether:2-methylpentane:ethyl alcohol=5:5:2) of spectrograde purity. These were sufficiently dried over CaH₂, except for ethyl alcohol, of which desiccation was achieved using CaO; it was then carefully rectified. 2-Methylpentane was further purified through a column packed in the order unhydrous sodium sulfate, aluminium oxide and silicon dioxide.

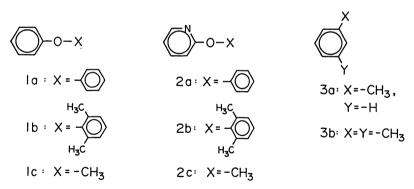


Fig. 2. Molecules employed in this study.

Table 1. Observed Spectral Data at Room Temperature and 77 K

	at Room temperature ^{a,b)}					at 77 K ^{a,c)}						
Compound	¹ L _a band			¹ L _b band			¹ L _a band			¹ L _b band		
	λ_{max}/nm	$E/eV^{d)}$	$\varepsilon_{ ext{max}}$	λ_{max}/nm	E/eV^{d}	$\varepsilon_{\mathrm{max}}$	λ_{max}/nm	E/eV^{d}	ε_{max}	λ_{max}/nm	E/eVd)	$\varepsilon_{ ext{max}}$
1a	226.0	5.49	9710	272.0	4.56	1910	229.0	5.41	15400	272.5	4.55	3200
1b	217.5 ^{e)}	5.70	16800	271.2	4.57	2120	217.5 ^{e)}	5.70	18800	271.0	4.58	3000
1c	220.0	5.64	7430	271.3	4.57	2020						
2a	220.0	5.64	11600	272.0	4.56	3510	220.0	5.64	15200	272.5	4.55	4150
2b	213.5	5.81	20500	273.0	4.54	3830	213.5	5.81	23200	272.0	4.56	4420
2c	214.5	5.78	8140	273.2	4.54	3890						
3a	207.5	5.98	8250	262.2	4.73	261						
3b	211.5	5.86	13400	265.7	4.67	274						

a) Values at the maximum intensity. b) Values in heptane. c) Values in an EPA solvent. d) The transition energy in eV unit. e) The values are for the shoulder band.

Spectral Measurements. The absorption spectra of all the samples were recorded with a Hitachi spectrophotometer (Model 323) at room temperature, unless otherwise stated. The spectra at 77 K were measured for 1a-b and 2a-b in an EPA solvent using a Dewar-type cell. The recorded absorbance was multiplied by 0.798 in order to correct for any concentration change arising from contraction of the sample solution upon cooling. All of the operations at 77 K were carried out under an N_2 atmosphere. The observed spectral data are listed in Table 1.

Molecular Orbital (MO) Calculations. Ab initio MO calculations were carried out in order to analyze the minimumenergy conformations of 1a-b and 2a with STO-3G minimum basis sets, since split-valence basis sets and polarization functions could not be employed, due to a restriction in the program used. This computed structure, however, can serve as a basis for full energy minimization calculations with larger basis sets, as yet unperformed. The molecular geometries were determined by referring to the results of X-ray crystallographic analyses for methyl 4-mesityloxy-3-nitrobenzoate and 2-(2,6-dinitrophenoxy)-t-butylbenzene6 with the following minor modification. A regular hexagon of 1.385 Å was assumed for the aromatic rings, in which the C-H distance was fixed at 1.084 Å. A fixed length of 1.390 Å was adopted for both the C-O bonds. The so-called standard structural parameters were used for the methyl group. The C-O-C angle was optimized for each of the typical conformations of 1a and 2a shown in Fig. 1. These optimizations yielded values of 115-121° for all the conformations of 1a and 2a. A C-O-C angle of 120° was, thus, assumed. The same value was also found in X-ray diffraction studies⁶⁾ as well as in recent calculations of 1a.11,13) The conformational energies were computed in the counter rotations to each other (θ_1 and θ_2 in Fig. 1) at every 15° angle around the two C-O bonds.

In order to interpret the experimental absorption spectra, CNDO/S-Cl calculations were carried out. The parameters necessary for the calculations were taken from the literature of Jaffé's group as well as that of others.²³⁾ Two-center repulsion integrals were evaluated using Nishimoto-Mataga's equation.²⁴⁾ Only the one-electron transition was taken into account for calculations of the configuration interaction (CI).

Results and Discussion

Minimum Energy Conformations. Figure 3 shows the relative total energies calculated for 1a at 15° intervals of θ_1 and θ_2 . Compound **1a** was found to have low conformational energies over the range θ_1 and θ_2 , satisfying the relation $\theta_1 + \theta_2 \approx 90^\circ$, where the two bridged phenyl rings form a dihedral angle of almost 90°. Although the twist form $(\theta_1 = \theta_2 = 45^\circ)$ is the minimum. the energy is low by only 0.2 kcal mol⁻¹, compared with that of the skew form, which is the maximum among them. This supports the so-called one-ring flip mechanism^{16,17)} for the internal motion of 1a, proposed by Schaefer et al.¹³⁾ Recent studies concerning the molecular mechanics (MM2) suggest a conformation of the two phenyl rings that is similar to the above. 18) The calculations for 1a also indicate that the change in the nuclear repulsion and electronic energies with a conformational change of the twist to skew forms is quite large, but just reverse in the energy change direction

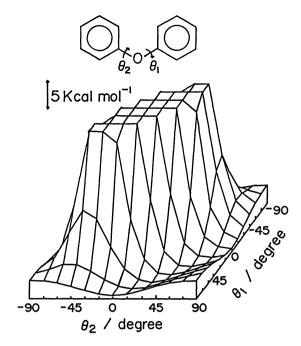


Fig. 3. STO-3G total energies of 1a at 15° intervals of the θ_1 and θ_2 angles.

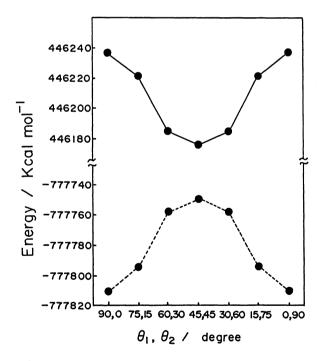


Fig. 4. Nuclear repulsion (solid line) and electronic (dotted line) energies of 1a pertinent to changes in the θ_1 and θ_2 angles from 0° to 90° and from 90° to 0° , respectively.

(Fig. 4). The total energies are therefore almost constant in the conformational alteration within the rotation angles $\theta_1+\theta_2\approx90^\circ$. The fact that the electronic energy is remarkably stabilized in the skew form may be due to the conjugation of the lone-pair π -electrons upon the oxygen atom with one of the phenyl rings.²⁵⁻²⁸⁾ On

the contrary, the nuclear repulsion energy is remarkably larger in the skew form of 1a, rather than that in the twist form (see Fig. 4). This would undoubtedly arise from the steric hindrance between the *ortho* hydrogen atom inside the COC angle and the opposite phenyl ring. From the above point of view it is not certain whether 1a would have the necessary stable skew conformation to reduce the steric repulsion, as concluded in the classic studies. ¹⁹⁾ Figure 5 shows the relative energy

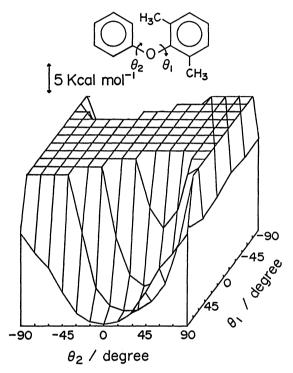


Fig. 5. STO-3G total energies of 1b at 15° interval of the θ_1 and θ_2 angles.

of 1b as a function of θ_1 and θ_2 . As expected, 1b predominantly occupies a symmetric skew conformation (θ_1 =90°, θ_2 =0°) because of the steric hindrance caused by the bulky methyl substituents at the opositions.⁶⁾ Our calculations show that the electronic energy as well as the nuclear repulsion contributes to the stable skew form of 1b.

The conformational energy map of 2a (Fig. 6) looks somewhat different from that of 1a. The change in θ_1 and θ_2 from 90° to 0° and from 0° to 90°, respectively, gives rise to a ravine on the energy map with an energy gradient in the direction of a skew form of $\theta_1=90^{\circ}$ and $\theta_2 = 0^{\circ}$. Therefore, the minimum energy occurs at the skew conformation wherein the nitrogen atom lies inside the COC angle and the oxygen π -electrons conjugate with the pyridyl ring ($\theta_1=90^\circ$, $\theta_2=0^\circ$). Strictly speaking, the minimum-energy conformation of 2a is observed at $\theta_1=75^{\circ}$ and $\theta_2=0^{\circ}$; it is thus classified as a skew type,6) the energy being stable by only 0.1 kcal mol⁻¹, compared with that at $\theta_1 = 90^{\circ}$ and $\theta_2 = 0^{\circ}$. It should be noted that in the skew form of 2a the nuclear repulsion energy is relatively less than that of 1a. Our present STO-3G calculations reveal that 2a possesses sufficient internal barriers with regard to rotation around the two C-O bonds in order to maintain the skew conformation.

Electronic Spectra of Diphenyl Ethers. Figure 7 shows the absorption spectra of 1a and 1b. The composite spectra of 3a and 1c, as well as those of 3b and 1c, being abbreviated (3a+1c) and (3b+1c), respectively, are also illustrated. All of the spectra are similar to each other, and are assigned to the ${}^{1}L_{b}$ and ${}^{1}L_{a}$ bands²⁹ in the order from the long-wavelength side. The characteristics of the ${}^{1}L_{a}$ band of these compounds reflect the degree of conjugation.²⁹ If both π -electron systems of the bridged aromatic rings cannot interact with each

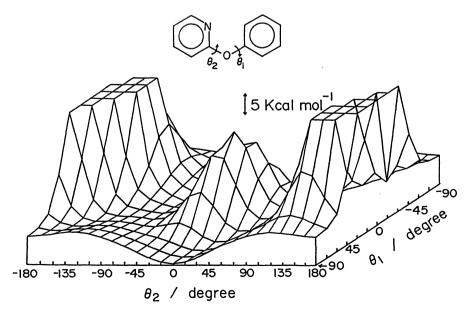


Fig. 6. STO-3G total energies of 2a at 15° intervals of the θ_1 and θ_2 angles.

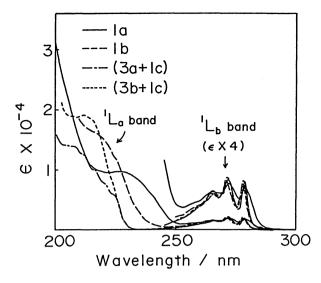


Fig. 7. Absorption spectra of 1a, 1b, and the composite spectra of (3a+1c) and (3b+1c) observed in heptane at room temperature.

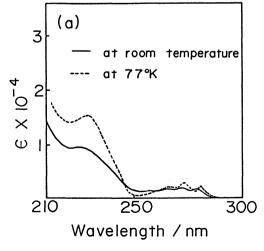
other in the skew form of diphenyl ethers, the spectra of 1a and 1b should turn out to be similar to those of (3a+1c) and (3b+1c), respectively. Actually, this situation is almost satisfied for 1b, as is shown in Fig. 7. A small difference in the transition energies between the ¹L_a bands of **1b** and (**3b+1c**) may arise from the socalled through-space and through-bond interactions³⁰⁾ at the classically nonbonded position in 1b. However, the ¹L_a band of 1a is observed at a longer wavelength with a smaller maximum intensity (see Fig. 7 and Table 1), compared with those of 1b, (3b+1c), and (3a+1c). This would be ascribed to the fact that the conformation of **1a** is easily altered under the condition $\theta_1 + \theta_2 = 90^\circ$, as was predicted by STO-3G calculations. Table 2 summarizes the CNDO/S-CI calculation results for the structural alteration of **1a** in the range $(0-90^{\circ})$ of θ_1 and θ_2 under the condition that $\theta_1 + \theta_2 = 90^{\circ}$. The parameters employed here result in a slightly larger transition

Table 2. CNDO/S-CI Calculation Results for the Conformational Change in 1a and for 1c

Compound (θ_1, θ_2)	Transition energy/eV	Oscillator strength	Assignment
1a (90°, 0°)	4.785 4.815 5.880	0.0055 0.0031 0.2287	¹L _b
1a (75°, 15°)	4.780 4.810 5.817 5.992	0.0063 0.0031 0.2355 0.0160	¹ L _b
1a (60°, 30°)	4.770 4.802 5.727 5.956	0.0087 0.0028 0.2444 0.0275	$^{1}L_{b}$ $^{1}L_{a}$
1a (45°, 45°)	4.763 4.799 5.691 5.941	0.0102 0.0027 0.2477 0.0327	$^{1}L_{b}$ $^{1}L_{a}$
1c	4.818 5.969	0.0037 0.0627	1L_b 1L_a

energy for these compounds than the experimental ones. Thus, the calculated transition energies should be valid compared with each conformer, rather than the absolute values. We can thus see that the conformational change in 1a from skew to twist causes a sensitive shift of the calculated 1L_a transition energy to a longer wavelength. In turn, the dependence of the 1L_a band intensity (oscillator strength), which is quite large, on the conformational change is not very large. It would thus be difficult to assign the observed spectra to a fixed conformation of 1a. The broad band of 1a observed with a relatively small ε_{max} should be explained in terms of the nonrigid conformation under the condition that $\theta_1+\theta_2\approx90^\circ$, as predicted by the present STO-3G MO calculations.

Further evidence for the nonrigid conformation of **1a** has been given by the observation of a sharp, intensified



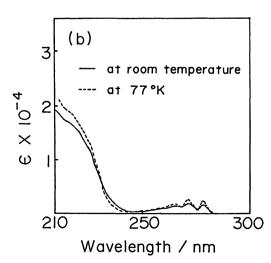


Fig. 8. Absorption spectra of 1a (a) and 1b (b) in an EPA solvent at 77 K.

and red-shifted 1La band at 77 K, compared to that at room temperature. The spectra of la and lb recorded at 77 K are illustrated in Fig. 8, and their spectral data are summarized in Table 1. In general, the decrease in the temperature in spectral measurements makes the absorption band sharp, but does not affect either the absorption maximum or the integrated intensity.31) Actually, the ¹L_a and ¹L_b bands of **1b** at 77 K indicate a tendency to become clear (Fig. 8b), and the spectral data are very close to those at room temperature, as can clearly be seen from Table 1. On the other hand, the ¹L_a band of **1a** is remarkably intensified and red-shifted at 77 K (Fig. 8a and Table 1), the ε_{max} being very close to that of 1b with the skew conformation. These phenomena have been reasonably interpreted as indicating that 1a is in a nonrigid conformation at room temperature and adopts a fixed one at lower temperature.

Electronic Spectra of 2-Phenoxypyridines. Figure 9

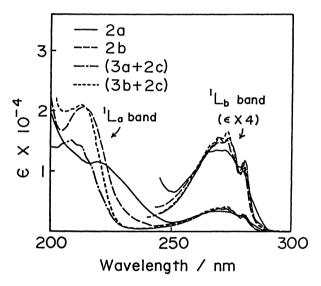


Fig. 9. Absorption spectra of 2a, 2b, and the composite spectra of (3a+2c) and (3b+2c) observed in heptane at room temperature.

depicts the UV spectra of 2a and 2b, together with the composite spectra of 3a and 2c, as well as those of 3b and 2c, being abbreviated as (3a+2c) and (3b+2c), respectively. The observed spectra of 2b closely resemble the composite spectra of (3b+2c), except for a small difference in the ¹L_a transition energy, which may be ascribed to the through-space and through-bond interactions in the skew form of 2b. On the other hand, the ¹L_a band of 2a is broader than that of (3a+2c) and is considerably red-shifted. The spectral measurement at 77 K, however, did not provide any evidence for the preferable nonrigid conformation of 2a, as shown in Table 1. A CNDO/S-Cl calculation yielded interesting results concerning 2a, as summarized in Table 3. The calculated spectra at $\theta_1=90^{\circ}$ and $\theta_2=0^{\circ}$ seem to correspond to the observed ones of 2b, which preferentially adopt a skew conformation just like that of 1b, as discussed hitherto in detail. As was discussed in the preceding chapter, 2a is in a conformation that is slightly twisted from the symmetric skew form to an asymmetric one. This causes a remarkable change in the ${}^{1}L_{a}$ spectral behavior. In the form of $\theta_{1}=75^{\circ}$ and $\theta_2=15^{\circ}$ the present calculations give three bands in the ¹L_a region, whose oscillator strengths are almost of the same order and are somewhat smaller than in the ¹L_a band predicted for the skew form of $\theta_1=90^{\circ}$ and $\theta_2=0^{\circ}$. These transitions are closely related to the 28th and 32nd occupied MO's (ϕ_{28} and ϕ_{32}); the 33rd—36th unoccupied MO's $(\phi_{33}-\phi_{36})$, as listed in Table 3. Both the electronic configurations ($\phi_{32} \rightarrow \phi_{33}$) and ($\phi_{32} \rightarrow \phi_{35}$) have a typical ¹L_a character. The former also has a chargetransfer (CT) character from the phenyl ring to the pyridyl one. The ϕ_{28} of **2a** is a nonbonding orbital localized on the nitrogen atom of the pyridyl ring. The calculation results thus clearly indicate that the π - π * transitions in the 1La rigion occur as three substantial bands involving the interaction of the $n-\pi^*$ type configuration. This characteristic is brought about by a through-space interaction between the nitrogen lonepair in the pyridyl ring and the π -system in the phenyl

Table 3. CNDO/S-CI Calculation Results for the Conformational Change in 2a and 2c

Compound (θ_1, θ_2)	Transition energy/eV	Configuration interaction/% ^{a)}	Oscillator strength	Assignment
2a (90°, 0°)	4.767 4.823 5.953	61.7(31/33), 22.2(29/34), 12.4(30/33) 48.2(32/36), 35.2(30/35) 50.4(32/35), 16.2(31/34)	0.0782 0.0026 0.2398	¹ L _b
2a (75°, 15°)	4.762 4.819 5.832 5.898 5.998	38.6(31/33), 20.0(29/34), 18.0(30/33), 17.3(32/33) 23.0(32/36), 20.7(31/35), 18.1(32/35), 16.2(30/36) 42.7(28/34), 22.4(32/34), 10.9(32/33) 26.2(32/33), 24.8(32/35), 11.0(28/34) 29.3(32/33), 23.1(32/35), 15.8(32/36)	$\left.\begin{array}{c} 0.0770 \\ 0.0032 \\ 0.0913 \\ 0.0946 \\ 0.0440 \\ \end{array}\right\}$	$^{1}L_{b}$ $^{1}L_{a}^{b)}$
2c	4.766 6.061	75.7(21/22), 23.8(20/23) 75.9(21/23), 22.6(20/22)	0.0767 0.0872	¹ L _b ¹ L _a

a) For example, (31/33) means a singly excited configuration from the 31st filled MO (ϕ_{31}) to the 33rd unoccupied MO (ϕ_{33}) . b) These bands are contributed from such electronic configurations as ${}^{1}L_{a}$ and $n-\pi^{*}$ states, and a CT state from the phenyl to the pyridyl rings. See the text for details.

ring, and by the π -conjugation through the bridging oxygen atom. This is the reason why the ${}^{1}L_{a}$ band of 2a can be observed as a broader band at longer wavelengths than that of (3a+2c).

In conclusion, ab initio MO calculations and UV spectral analyses based on the CNDO/S-CI calculations suggest that 1a prefers a nonrigid conformation whose dihedral angle of two phenyl rings is about 90° . Further evidence for the nonrigid conformation has been obtained from a spectral observation at 77 K. In the case of 2a, however, that the conformer deviated somewhat from the symmetric skew conformation is the most reasonable interpretation. The broad ${}^{1}L_{a}$ band of 2a with smaller intensities is attributed to the interaction of the $n-\pi^{*}$ type configuration to the ${}^{1}L_{a}$ states of the phenyl and pyridyl rings.

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